

PATENT APPLICATION
Attorney Do. No. 5038-118

#6 / Reg for
Refund
08-08-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section
Receipts Division
Office of Finance
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR REFUND

The October 31, 2001 Monthly Statement of Deposit Account No. 13-1703 reflects a charge of \$420 on October 22, 2001, under Control No. 30, for Patent Application No. 09/981,620, with a 103 Fee Code for excess claims. A copy of the Statement is attached as Exhibit A.

A copy of a credit card transaction report is attached (Exhibit B) showing the following charges:

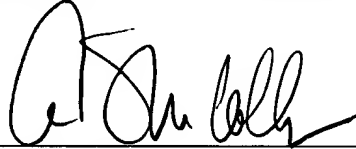
| Date | Amount | Fee Code |
|----------------|-----------|----------|
| 10/22/01 | \$740.00 | 101 |
| 10/22/01 | \$336.00 | 102 |
| 10/22/01 | \$40.00 | 581 |
| 10/22/01 | \$420.00 | 103 |
| 10/22/01 | \$390.00 | 103 |
| 10/22/01 | \$40.00 | 101 |
| 10/22/01 | \$40.00 | 102 |
| 10/22/01 | \$40.00 | 581 |
| 10/22/01 | \$40.00 | 103 |
| 10/22/01 | \$40.00 | 103 |
| TOTAL | \$2126.00 | |
| EXCESS CHARGED | \$452.00 | |

A copy of our PTO-2038 (Exhibit C) shows that we authorized the filing fee for this application to be charged to our credit card. The correct filing fee is \$1,634.00 (\$740 filing fee, \$558 excess claims and \$336 excess independent claims). Additionally, a charge of \$40 should have been applied for the assignment recordation fee (totaling \$1,674.00, rather than the \$2126.00 that was charged).

Applicant requests a refund of \$420 be credited to Deposit Account No. 13-1703. Applicant additionally requests that a refund of \$452 be credited to the credit card in question.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Alan T. McCollom
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613



20575

PATENT TRADEMARK OFFICE

> should be \$390 ?

KU

\$370 credited back on 11/29/01


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

 To replenish your Deposit Account, detach and
 return top portion with your check. Make check
 payable to Commissioner of Patents & Trademarks.

| | |
|-------------|----------|
| Account No. | 131703 |
| Date | 10-31-01 |
| Page | 1 |

MARGER AND JOHNSON INC

FINA

1030 SW MORRISON STREET

PORTLAND OR 97205

 PLEASE SEND REMITTANCES TO:
 Patent and Trademark Office
 P.O. Box 70541
 Chicago, Ill. 60673

| DATE POSTED | | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
|-------------|-----|-----|-------------|--|--------------|----------|---------------------|---------|
| MO. | DAY | YR. | | | | | | |
| 10 | 1 | 01 | 1 | 09591809 | 4591-102 | 102 | 80.00 | 2771.00 |
| 10 | 3 | 01 | 1 | 09525154 | 9898-148 | 102 | 80.00 | 2691.00 |
| 10 | 3 | 01 | 2 | 09525154 | 9898-148 | 103 | 108.00 | 2583.00 |
| 10 | 3 | 01 | 4 | 09543595 | 4591-144 | 116 | 390.00 | 2193.00 |
| 10 | 3 | 01 | 38 | 09966994 | 3984-5 | 204 | 81.00 | 2112.00 |
| 10 | 3 | 01 | 188 | 09136798 | 9898-102 | 102 | 8.00 | 2104.00 |
| 10 | 4 | 01 | 1 | 09240208 | 3561-38 | 120 | 310.00 | 1794.00 |
| 10 | 4 | 01 | 2 | 09240208 | 3561-38 | 116 | 390.00 | 1404.00 |
| 10 | 4 | 01 | 32 | 09620018 | 4591-123 | 142 | 1240.00 | 164.00 |
| 10 | 4 | 01 | 202 | 09545691 | 1482-132 | 117 | 34.00 | 130.00 |
| 10 | 5 | 01 | 10 | E-REPLENISHMENT | | 701 | -5000.00 | 5130.00 |
| 10 | 5 | 01 | 154 | 09871241 | 8514-50 | 205 | 15.00 | 5115.00 |
| 10 | 9 | 01 | 101 | 476576 | | 566 | 15.00 | 5100.00 |
| 10 | 9 | 01 | 187 | 477234 | | 567 | 30.00 | 5070.00 |
| 10 | 9 | 01 | 340 | 477408 | | 567 | 150.00 | 4920.00 |
| 10 | 9 | 01 | 364 | 477433 | | 567 | 30.00 | 4890.00 |
| 10 | 9 | 01 | 365 | 477434 | | 567 | 30.00 | 4860.00 |
| 10 | 9 | 01 | 367 | 477437 | | 567 | 60.00 | 4800.00 |
| 10 | 10 | 01 | 17 | 09935002 | 5484-92 | 102 | -320.00 | 5120.00 |
| 10 | 10 | 01 | 18 | 09935002 | 5484-92 | 103 | 72.00 | 5048.00 |
| 10 | 10 | 01 | 29 | 09887534 | 5707-118 | 102 | 34.00 | 5014.00 |
| 10 | 10 | 01 | 42 | 09882816 | 4861-2 | 205 | 15.00 | 4999.00 |
| 10 | 10 | 01 | 82 | 09859737 | 4430-69 | 215 | 15.00 | 4984.00 |
| 10 | 10 | 01 | 96 | 09968479 | MJM3820-2 | 204 | 5.00 | 4979.00 |
| 10 | 10 | 01 | 133 | 29143229 | 5869-10 | 106 | 10.00 | 4969.00 |
| 10 | 10 | 01 | 205 | 09458506 | 5484-53 | 117 | 30.00 | 4939.00 |
| 10 | 11 | 01 | 16 | 09837885 | 1941-76 | 202 | 2.00 | 4937.00 |
| 10 | 15 | 01 | 2 | 09773399 | HP10970454-2 | 148 | 110.00 | 4827.00 |
| 10 | 15 | 01 | 9 | 09898699 | 9898-176 | 122 | 130.00 | 4697.00 |
| 10 | 15 | 01 | 68 | 09882489 | 9898-159 | 103 | 38.00 | 4659.00 |
| 10 | 16 | 01 | 1 | 09107187 | 2705-36 | 115 | 110.00 | 4549.00 |
| 10 | 16 | 01 | 7 | 09898699 | 9898-176 | 122 | -130.00 | 4679.00 |
| 10 | 16 | 01 | 9 | 29143229 | 5869-10 | 106 | -10.00 | 4689.00 |
| 10 | 16 | 01 | 13 | 29143229 | 5869-10 | 105 | 10.00 | 4679.00 |
| 10 | 16 | 01 | 20 | 09878151 | 5869-9 | 101 | 22.00 | 4657.00 |
| 10 | 16 | 01 | 47 | 60328756 | 7293-32 | 114 | 10.00 | 4647.00 |

 AN AMOUNT SUFFICIENT TO
 COVER ALL SERVICES REQUESTED
 MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

 Ex A
 Page 1 of 2

SEE BOTTOM INDICATES OVERDRAWN


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

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 payable to Commissioner of Patents & Trademarks.

| | |
|-------------|----------|
| Account No. | 131703 |
| Date | 10-31-01 |
| Page | 2 |

 MARGER AND JOHNSON INC
 1030 SW MORRISON STREET
 PORTLAND OR 97205

FINA

 PLEASE SEND REMITTANCES TO:
 Patent and Trademark Office
 P.O. Box 70541
 Chicago, Ill. 60673

| DATE POSTED | | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
|-------------|-----|-----|-------------|--|------------|----------|---------------------|---------|
| MO. | DAY | YR. | | | | | | |
| 10 | 16 | 01 | 174 | 480238 | | 567 | GTK? 90.00 | 4557.00 |
| 10 | 17 | 01 | 130 | 09898699 | 9898-176 | 105 | 30.00 | 4527.00 |
| 10 | 18 | 01 | 4 | 09919575 | 5039-11 | 105 | AM/JM 42.00 | 4485.00 |
| 10 | 18 | 01 | 9 | 09955420 | 9898-214 | 101 | 710.00 | 3775.00 |
| 10 | 19 | 01 | 22 | 09952645 | 4591-215 | 101 | 710.00 | 3065.00 |
| 10 | 19 | 01 | 23 | 09952645 | 4591-215 | 103 | 144.00 | 2921.00 |
| 10 | 19 | 01 | 24 | 09952645 | 4591-215 | 102 | 160.00 | 2761.00 |
| 10 | 22 | 01 | 7 | 09955388 | 9898-189 | 101 | 710.00 | 2051.00 |
| 10 | 22 | 01 | 29 | 09978497 | 9898-199 | 581 | 40.00 | 2011.00 |
| 10 | 22 | 01 | 30 | 09981620 | 5039-11 | 103 | 420.00 | 1591.00 |
| 10 | 22 | 01 | 30 | 09978497 | 9898-199 | 101 | 740.00 | 851.00 |
| 10 | 22 | 01 | 31 | 09978497 | 9898-199 | 102 | 420.00 | 431.00 |
| 10 | 22 | 01 | 32 | 09978497 | 9898-199 | 103 | 72.00 | 359.00 |
| 10 | 23 | 01 | 188 | 483625 | | 567 | } GTK 30.00 | 329.00 |
| 10 | 26 | 01 | 200 | 485713 | | 567 | ? 120.00 | 209.00 |
| 10 | 30 | 01 | 5 | E-REPLENISHMENT | | 701 | -5000.00 | 5209.00 |

 Ex A
 Page 2 of 2

 AN AMOUNT SUFFICIENT TO
 COVER ALL SERVICES REQUESTED
 MUST ALWAYS BE ON DEPOSIT.

 OPENING BALANCE
 2851.00

 TOTAL CHARGES
 8102.00

 TOTAL CREDITS
 10460.00

 CLOSING BALANCE
 5209.00

*** O.D. INDICATES OVERDRAWN

U.S. PATENT AND TRADEMARK OFFICE
REVENUE ACCOUNTING AND MANAGEMENT SYSTEM

CREDIT CARD TRANSACTIONS

Card Number:
...7171

Start Accounting Date:
10/15/2001

End Accounting Date:
10/31/2001

Date/Time of Report: Thu Dec
6 12:23:44 2001

Credit Card Sales

| Accounting Date | Create Date | Amount | Fee Code | Name/Number | Attorney Docket Number |
|-----------------|-------------|--------------------|----------|-------------|------------------------|
| 10/19/2001 | 10/19/2001 | \$740.00 | 101 | 09978445 | 5038-141 |
| 10/19/2001 | 10/19/2001 | \$108.00 | 103 | 09978445 | 5038-141 |
| 10/19/2001 | 10/19/2001 | \$40.00 | 581 | 09978445 | 5038-141 |
| 10/22/2001 | 10/22/2001 | \$740.00 | 101 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$338.00 | 102 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 581 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$420.00 | 103 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$390.00 | 103 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 101 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 102 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 581 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 103 | 09981620 | VESEY-1 |
| 10/22/2001 | 10/22/2001 | \$40.00 | 103 | 09981620 | VESEY-1 |

Deposit Account Replenishments

| Accounting Date | Create Date | Amount | Name/Number | DA Number |
|-----------------|-------------|--------|-------------|-----------|
|-----------------|-------------|--------|-------------|-----------|

No DA replenishments could be found.

Suspended Payments

| Accounting Date | Sequence Num | Amount | Customer Name | Reference Text | Comments |
|-----------------|--------------|--------|---------------|----------------|----------|
|-----------------|--------------|--------|---------------|----------------|----------|

No suspended payments could be found.

Refunded Payments

| Accounting Date | Refund Num | Amount | Name/Number | Customer Name |
|-----------------|------------|--------|-------------|---------------|
|-----------------|------------|--------|-------------|---------------|

No refunds could be found.

Ex

Page 1 of 1

PTO-2038 (02-2000)

Approved for use through 01/31/2003, OMB 0651-0043

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent & Trademark Office

Credit Card Payment Form

Please Read Instructions before Completing this Form

| Credit Card Information | | | |
|---|--|--|---------------------------|
| Credit Card Type: | <u>Visa</u> | MasterCard | American Express Discover |
| Credit Card Account #: | 4833 4960 0581 7171 | | |
| Credit Card Expiration Date: | 04/02 | | |
| Name as it Appears on Credit Card: | Julie Reed | | |
| Payment Amount \$(US Dollars): | <u>\$1,634 + \$40 = (\$1,674)</u> | | |
| Signature: | <u>Julie L. Reed</u> | Date: | <u>10/16/01</u> |
| <small>Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account. Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).</small> | | | |
| Credit Card Billing Address | | | |
| Street Address 1: | <u>Marger Johnson & McCollum, P.C.</u> | | |
| Street Address 2: | <u>1030 SW Morrison Street</u> | | |
| City: | <u>Portland</u> | | |
| State: | <u>OR</u> | Zip/Postal Code: | <u>97205</u> |
| Country: | <u>USA</u> | | |
| Daytime Phone #: | <u>(503) 222-3613</u> | Fax #: | <u>(503) 274-4622</u> |
| Request and Payment Information | | | |
| Description of Request and Payment Information: | | | |
| <u>Patent Application Filing Fee</u> <u>\$1,634</u> | | <u>Assignment Recordal Fee</u> <u>\$40</u> | |
| <u>Patent Fee</u> | Patent Maintenance Fee | Trademark Fee | Other Fee |
| Application No. | Application No. | Serial No. | IDON Customer No. |
| Patent No. | Patent No. | Registration No. | |
| Attorney Docket No. | | Identify or Describe Mark | |
| <u>5038-118</u> | | | |

PATENT APPLICATION
Attorney Do. No. 5038-118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of: Richard L. Coulson

Serial No. 09/981,620

Group Art Unit: 2122

Filed: October 16, 2001

Title: MASS STORAGE CACHING PROCESSES FOR POWER REDUCTION

Refunds Section
Receipts Division
Office of Finance
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced application are the following:

- ☒ Request for Refund
- ☒ Return Postcard

OFFICE OF FINANCE
RETURN DIVISION
2002 MAR -5 PM 6:15
US PATENT & TRADEMARK
OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.



Alan T. McCollom
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613



20575

PATENT TRADEMARK OFFICE

I HEREBY CERTIFY THAT
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D.C. 20231

☒ ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON D.C.
202321

☐ ASSISTANT COMMISSIONER
FOR TRADEMARKS 2900 CRYSTAL
DRIVE ARLINGTON, VA 22202-3513

ON: 2/12/02
Christina Lawler